

# Kroc Institute for International Peace Studies

UNIVERSITY OF NOTRE DAME

# **Kroc Institute for International Peace Studies**

Ph.D. Student Manual, 2010-2011

## **TABLE OF CONTENTS**

1. THE DOCTORAL PROGRAM IN PEACE STUDIES AT THE UNIVERSITY OF NOTRE DAME	3
2. GENERAL GUIDELINES FOR DOCTORAL STUDENTS AT THE KROC INSTITUTE	4
3. ACADEMIC, ADMINISTRATIVE, AND FINANCIAL AID POLICIES	5
3.1. Full-time and Part-time Status	5
3.2. Measures of Good Standing and Satisfactory Progress	5
3.3. Financial Support, Research Assistantships, and Teaching Assistantships	6
3.4. Academic Integrity	7
3.5 Appeal Procedures	8
3.6 Interruptions of Progress Toward Degree	8
4. SPECIFIC DEGREE PROGRAMS	9
4.1. PH.D. in History and Peace Studies	10
4.2. Ph.D. in Political Science and Peace Studies	12
4.3. Ph.D. in Psychology and Peace Studies	14
4.4. Ph.D. in Sociology and Peace Studies	16
4.5. Ph.D. in Theology and Peace Studies	18
Appendix A: Support for Presenting Scholarly Research	21
Appendix B: Support for Summer Research and Training	22

#### 1. THE DOCTORAL PROGRAM IN PEACE STUDIES AT THE UNIVERSITY OF NOTRE DAME

The University of Notre Dame is one of the leading centers in the world for advanced study in the interdisciplinary field of peace research. Situated within a major international Roman Catholic university, the Kroc Institute for International Peace Studies has established a doctoral program that seeks highly qualified men and women from all major cultural regions of the world and from diverse religious and secular traditions. Students and faculty with diverse perspectives mingle in the classroom and share their disciplinary expertise with one another in research. Successful applicants to this program show serious commitment to advancing humanity's understanding of the conditions essential for peace, justice, and human rights, as well as the causes of armed conflict.

Doctoral students in the Kroc Institute's degree programs at Notre Dame receive mentoring from world-class scholars working across several disciplines, find depth in curricula and learning opportunities, and contribute to path-breaking research.

The mission of the Kroc Institute for International Peace Studies is to be an international community engaged in studying the human, normative, institutional, political, social, economic, psychological, cultural, and religious dimensions of conflict transformation and strategic peacebuilding. The Kroc doctoral program has been carefully designed to provide the best parts of two worlds: (1) solid methodological training within rich bodies of knowledge from time-honored disciplines and (2) creative research design and interdisciplinary theorizing, problem-centered inquiry, and peacebuilding praxis. The program's primary goal is to empower students to become outstanding independent scholars and teachers who will make important contributions to a growing body of peacebuilding knowledge and practice that will, in the long run, alleviate violence and human suffering.

Student learning opportunities arise from the Kroc Institute's rich array of research, education, and outreach programs. These explore the ethnic, national, religious and structural dimensions of violent conflict and peacebuilding; the ethics of the use of force and nonviolent action; and the peacemaking role of international norms, policies, and institutions, including a focus on economic sanctions and the promotion of human rights. Students are invited to participate in conversations with guest lecturers and visiting fellows about public issues and policy-relevant research.

Students work closely with faculty who are engaged in cutting-edge research and who are leading innovators in their fields. Twenty-five faculty and professional specialist staff members of the Kroc Institute and 55 affiliated faculty fellows from a dozen departments and several professional schools at Notre Dame conduct the Institute's programs. They, along with the Institute's most advanced doctoral students, reach out to national and international communities through media commentary, online and print publication and blogs, and workshops for peace studies educators and nongovernmental and religious organizations. Kroc Institute faculty and fellows contribute both to international policy discussions and peacebuilding practice through their various professional roles and responsibilities in international organizations and the private and public sectors. These activities are not merely available for students; they are, in the view of the Kroc faculty, integral to the rigorous study of peace research and professional preparation for what has become the Institute's hallmark of engaged scholarship.

At the doctoral level the Kroc Institute's mission and intellectual community are manifested in five degree programs constructed in partnership with the Departments of History, Political Science, Psychology, Sociology, and Theology. The Institute also draws upon additional faculty and curricular contributions from other departments, the Law School, and the Mendoza School of Business. The Institute intends to admit to this program only highly qualified students who seek a doctoral degree in one of these five areas in which degrees are offered: History and Peace Studies, Political Science and Peace Studies, Psychology and Peace Studies, Sociology and Peace Studies, or Theology and Peace Studies. Those seeking an M.A. degree should apply separately to the Kroc Institute's M.A. program: <a href="http://kroc.nd.edu/programs/masters">http://kroc.nd.edu/programs/masters</a>.

The Kroc Institute's substantial human, library, electronic, and financial resources focus on identifying ways to make peacebuilding strategically more effective. The University libraries contain approximately 3 million volumes, provide access for thousands of online data bases, and house a document center with over 800,000 United Nations and United States government documents. The Center for Social Research and the Office of Information Technology make large-capacity, networked equipment and quantitative and qualitative data bases accessible to Kroc students. The Center for Civil and Human Rights at the Law School aids those studying the meaning and implementation of human rights and duties. The Kellogg Institute for International Studies, to take a second example, provides invaluable learning opportunities for those with particular interests in transitions from authoritarian to democratic societies. These illustrate but do not exhaust the many learning opportunities at Notre Dame.

#### 2. GENERAL GUIDELINES FOR DOCTORAL STUDENTS AT THE KROC INSTITUTE

not covered in this manual.

Doctoral students in peace studies may use this manual as the basic set of guidelines for their doctoral work. In addition, each student should consult the guidelines posted by the partnering department in the disciplinary area that each student has selected for her or his peace studies degree.

Both this Kroc manual and departmental manuals refer you, where relevant, to the policies established by the Graduate School for all graduate students, because all graduate students must follow the guidelines determined by the Graduate School itself. These are described in the "Academic Regulations" of the Graduate School **Bulletin of Information**, which can be found on the Graduate School's web site at http://graduateschool.nd.edu/assets/29023/bulletin.1011.pdf. Students are responsible for knowing the requirements and expectations explained in the **Bulletin of Information**, which contains important information

The following paragraphs describe policies specifically for the Kroc Institute's doctoral programs. If any conflict appears to arise between the policies of the Institute and the Graduate School, the rules of the Graduate School **Bulletin of Information** supersede the policies of the Institute or a partnering department. If questions arise about how to apply particular regulations to any individual case, please consult the Kroc Director of Doctoral Studies (DDS), the associated departmental Director of Graduate Studies (DGS), or, where relevant, the student's academic advisor. Normally, the DDS or the graduate advisory committee of the Kroc Institute provides authoritative interpretations of the Institute's academic policies, after consultation with the Director of the Kroc Institute, the Graduate School, and the relevant departmental partner.

Ordinarily, changes in the Institute's doctoral program are not imposed retroactively. Students who enter the program under a given set of regulations may complete their work under them. However, students may also elect, as a complete set, the provisions of any program updates that are put in place during their enrollment.

The Director of Doctoral Studies at the Kroc Institute will be the primary faculty adviser for the doctoral students in peace studies during their first one or two years. In addition, each student should, from the outset, seek academic counsel from the relevant departmental Director of Graduate Studies, with whom the Kroc DDS works closely. Any departure from the agreed-upon policies laid down in this manual requires the approval of both the Kroc DDS and the relevant departmental DGS.

#### 3. ACADEMIC, ADMINISTRATIVE, AND FINANCIAL AID POLICIES

General academic policies are outlined in the Graduate School's **Bulletin of Information.** *Students are responsible for knowing the provisions described in the Bulletin*. The following are more specific Kroc Institute policies:

#### 3.1. Full-time and Part-time Status

A full-time student is one who (1) registers for nine to twelve credit hours of required course work per semester in the academic year, or (2) has completed the credit hour requirements for a degree and is registered for a minimum of one credit hour, usually for research and writing. This second category includes both resident and nonresident students.

A part-time student is any enrolled graduate student who does not fall within either of the preceding categories. The Kroc Institute does not accept part-time students unless special arrangements are formally approved.

#### 3.2. Measures of Good Standing and Satisfactory Progress

An adequate grade point average (GPA) of 3.0, discussed in the Graduate School's **Bulletin of Information**, is one of several factors taken into consideration in determining a student's good standing, satisfactory progress, and overall qualifications for the Ph.D. degree. All the factors, which are discussed by the Institute's graduate advisory committee to examine the progress of each student in the program, include data assembled for each student on:

- grades or incompletes earned in formal courses,
- assessments conveyed in comments (both written and oral) from members of the faculty with whom the student has had contact,
- performance on and timeliness of comprehensive examinations,<sup>1</sup>
- the quality of participation in interdisciplinary student-faculty seminars and research conversations,
- work on research and manuscripts being prepared for submission to scholarly publications,
- performance as a research or teaching assistant,
- presentations at professional meetings,
- applications for external funding for research,
- research and writing of the dissertation proposal and the dissertation, and
- any teaching experience.

When considering each graduate student, the committee will identify both praiseworthy and problematic items, and will formulate initial recommendations concerning them. These cases will then be slated for discussion by the full Kroc faculty at an annual meeting devoted to reviewing graduate student progress. In any single case, five possible options may be considered: (1) commendation for work well done; (2) acknowledgement of satisfactory if not exemplary progress; (3) issuance of a written concern informing the student that improvements in performance are required, along with suggestions as to what should be done to achieve improved results, (4) a decision to deny the student renewed financial assistance, and (5) a decision to terminate altogether the student's enrollment in the University as a degree candidate in the Kroc doctoral program. All students will receive a letter indicating the results of the review of progress.

A student must be making satisfactory progress (as measured against the benchmarks above and indicated in the illustrative programs in section 4 below) and in good standing academically to be eligible for continued or any new

<sup>&</sup>lt;sup>1</sup> In this document the terms "comprehensive examinations" are used throughout, with the exception of the paragraphs on the Department of Theology where "candidacy examinations" is the customary language for the examinations that must be passed before one qualifies to be a candidate for a doctoral dissertation and degree. In this handbook we follow the terminological practice that is consistent with that used by each partnering department. "Comprehensive examinations" and "candidacy examinations" mean the same thing in the peace studies doctoral program.

financial support. Students who have lost funding may qualify again if they continue into the subsequent year, and at the conclusion of that year are deemed, in the judgment of the faculty, to have performed satisfactorily.

A student who does not complete all requirements for the Ph.D. by the eighth year of study is considered to be withdrawn from the program. Students may apply for a limited extension of degree eligibility in their seventh year, if for good reasons they anticipate that they will not earn their Ph.D. by the end of that academic year. This application must be approved first by the student's advisor, then by the Kroc Director of Doctoral Studies, and finally by the Graduate School.

#### 3.3. Financial Support, Research Assistantships, and Teaching Assistantships

To enable students to take advantage of the many opportunities that arise only with full-time study, the Kroc Institute offers full financial support in the form of fellowships, graduate assistantships, and tuition scholarships for full-time, degree-seeking doctoral students in good academic standing.

Incoming students normally receive a fellowship or assistantship and a scholarship that together provide full tuition plus a stipend for living expenses for five years, contingent upon satisfactory progress in the program. A tuition scholarship entitles the recipient to the remission of tuition charges for a fixed number of credit hours in a given semester. Assistantships carry a cash stipend for living expenses that is paid to the student in return for his or her service to the Institute. Students receiving assistantships are assigned to work either on Kroc Institute research projects or to assist Kroc faculty or, in designated cases, Kroc faculty fellows, with their teaching or research activities. The amount of expected service is determined and assigned in all cases by the Kroc Institute, but the service requirement varies slightly from one disciplinary context to another. In all cases, students are encouraged to develop a close working relationship with faculty members, because these relationships are vital for students' acquisition of research and teaching skills and professional preparation for high quality post-graduate employment.

Service will normally not exceed 17 hours of service per week for those working with the disciplines of political science and psychology, 15 hours per week for those with sociology, and 12 hours per week for those with history and theology.

Most special fellowships and some assistantships do not obligate their recipients to perform service each year. Normally first-year students working with the disciplines of history, political science, and theology will not be expected to provide service. In psychology and sociology, students are integrated immediately into faculty-directed research projects; those students will have research assistantships in their first year. In the second and third years, and sometimes in the fourth year, students in the associated departments will normally perform research or teaching duties in the form of assistantships. (There may be some exceptions, such as for those who have earned an external research grant from the National Science Foundation.)

As part of their professional training, students normally should expect to be assigned to: at least two semesters as a research assistant in peace studies, one semester as a teaching assistant in a peace studies course, and one semester as a teaching assistant in their specialized discipline. To facilitate excellent research and writing during the dissertation (fifth) year, students normally will not perform service as a teaching or research assistant. Because the Institute provides stipends for doctoral students, decisions about assistantship assignments reside with the Institute.

As part of their professionalization, all students are required to prepare a minimum of one article for submission to a scholarly publication. All students are also required to apply for external funding for their research no later than during the fourth year of their programs. If a student's application is unsuccessful, he or she will still be covered by financial aid from the Institute in accord with normal expectations about being in good academic standing while making satisfactory progress.

Work assignments for students with graduate assistantships are determined before the start of each academic year by the Kroc Director of Doctoral Studies. While some effort is made to match students to tasks in their own areas of special interest, an exact correspondence cannot be guaranteed. Although some shifts in assignments may be permitted during the course of a single year, it is not unusual for students to hold the same service position for two semesters at a time. In psychology, it is customary for a student to work with the same research project throughout the full period of doctoral work.

Assistants and fellows who receive a full stipend are not permitted to be employed elsewhere without written approval of the Director of Doctoral Studies and the Graduate School.

If students enter with advanced standing or transfer credits, the number of years of financial support from Notre Dame is likely to be proportionately less than five. Details need to be worked out with the DDS following the transfer of credits to the University of Notre Dame, which occurs sometime after the student successfully completes the first semester. Criteria for such a transfer can be found in the Graduate School Bulletin. Funding may also be available, in full or in part, beyond five years if available and warranted. This is decided on a case-bycase basis.

Additional funding may be available for summer study or research, although this is provided only on a competitive, case-by-case basis from the Institute, or possibly from other sources on campus or elsewhere. Graduate students are encouraged to apply for such summer opportunities in accordance with separately published eligibility requirements. Sufficient Kroc Institute funds are not available to offer awards to all students every summer. As summer subsidy is already included in fellowships that are part of the Graduate School-wide competition, such as Notebaert, Presidential, and Gaia fellowships, recipients of these are not eligible for additional summer stipends.

Most of the academic-year financial awards are renewable annually, but renewal is by no means automatic. Rather, it is contingent upon the diligent performance of study and work obligations, including making satisfactory academic progress. To be eligible for financial support from the University for the fifth academic year, a student must have successfully passed all required comprehensive or candidacy exams no later than August 15th after the fourth academic year.

#### 3.4 Academic Integrity

All students in the Institute's doctoral programs are expected to be fully cognizant of and committed to honoring the detailed regulations and procedures for maintaining academic integrity that are specified in the Graduate School's **Bulletin of Information.** 

Any person who has reason to believe that a violation of academic integrity has occurred shall discuss it on a confidential basis with the Kroc Director of Doctoral Studies. If a perceived conflict of interest exists between the DDS and any accused, the Regan Director of the Kroc Institute shall be notified. The Regan Director (or his/her designee) shall evaluate the allegation promptly. If it is determined that there is no substantial basis for the charge, then the matter may be dismissed with the fact of the dismissal being made known to the complainant and to the accused if he or she is aware of the accusation. A written summary of charges, findings, and actions shall be forwarded to the Dean of the Graduate School as a matter of documentation. In the case of allegations determined to be worthy of further investigation, the Regan Director of the Institute will select an impartial panel consisting of three faculty members to investigate the matter. The Regan Director of the Institute will inform the accused of the charges. The panel will determine initially whether to proceed directly to a hearing to further investigate the case, or to dismiss the charges.

#### 3.5 Appeal Procedures

Occasionally someone who begins a doctoral program does not complete it. For that reason, the University establishes fair processes that protect both students and programs in cases where students are dismissed. The process described here is restricted to academic issues. For more information, see the academic Integrity section of the Graduate School *Bulletin* at http://graduateschool.nd.edu/assets/16757/bulletin.0910.pdf).

For issues of personal misconduct, including issues of sexual or discriminatory harassment or disability-related grievances, which are handled by the Office of Student Affairs, additional information is available in *du Lac: A Guide to Student Life* at http://orlh.nd.edu/dulac/.

If a student fails to pass a comprehensive examination or otherwise fails to perform at a satisfactory level, the Director of Doctoral Studies will send a written communication to the student informing him or her of the failure and provide information about any recourse that the student might have. If a student is performing poorly, the advisor or DDS should provide the student with a written notice about the poor performance, indicate the expectations necessary to remain in the program, and give the student a specific time when he or she will be reevaluated.

If a student is dismissed for academic reasons, he or she may appeal the decision in a two-step process. The first is within the Institute. If the student does not agree with the Institute's decision, she or he may then appeal to the Dean of the Graduate School, who makes the final determination. The Graduate School's grievance process can be found at: <a href="http://graduateschool.nd.edu/assets/9047/info">http://graduateschool.nd.edu/assets/9047/info</a> appeal procedure.pdf.

The following provides guidance for the first or the local appeal.

Complaints must be initiated by a written statement from the student to both the Director of Doctoral Studies and the Regan Director of the Institute within 14 days from the time when the student is informed of dismissal. To hear the appeal, the Regan Director of the Institute will appoint an *ad hoc* committee composed of himself or herself as chair or another faculty person so designated, and at least two faculty members unconnected factually with the case or the reasons for the appeal. If the Regan Director has been involved in the case, the appropriate associate dean of the college should appoint the committee and designate the person to serve as its chair. The student's statement should indicate details on the nature of the problem, the date(s) the problem occurred, the grounds upon which the appeal is based, background information that the student considers important and the relief requested.

The appeals committee will promptly and thoroughly investigate the appeal to determine whether the relief requested is warranted. The investigation may include interviews and/or written statements from the student, any student witnesses, faculty or staff members who may be able to provide pertinent information about the facts, as well as a review of any pertinent documents. In most situations during the regular academic year, the appeals committee will complete the investigation in 30 business days (Note: Business days do not include weekends or employee holidays as recognized by the University). There may be some reports that cannot be investigated within 30 business days, particularly during the summer months when faculty may be away. In such cases, the chair of the appeals committee will communicate in writing to the student that the investigation is going to take longer than 30 business days and will also include a statement indicating when the committee anticipates completing the investigation.

#### 3.6 Interruptions of Progress Toward Degree

On questions of withdrawal, leaves of absence, and medical leaves, the Institute follows and refers students to Graduate School policies as specified in the Bulletin of Information.

#### 4. SPECIFIC DEGREE PROGRAMS

The Kroc Institute offers Ph.D. degrees in History and Peace Studies, Political Science and Peace Studies, Psychology and Peace Studies, Sociology and Peace Studies, and Theology and Peace Studies. The Kroc doctoral degrees enable graduates to be highly competitive on the job market, because they receive full credentialing and professional mentoring that prepare them for a variety of openings: disciplinary teaching positions as historians, political scientists, psychologists, sociologists, and theologians; interdisciplinary positions in peace studies; and combined positions in which employers seek expertise in the peace and conflict subfields of history, political science, psychology, sociology, or theology. In addition, the curricular program and research mentoring possibilities will prepare some students for employment by intergovernmental, governmental, or nongovernmental organizations as scholarly practitioners of peacebuilding in the service of human security.

Because each of the five associated departments understandably has different requirements for earning a peace studies Ph.D. associated with its disciplinary specialty, there are some variations in each of the five programs as well as some common requirements in all. In addition to meeting specific course requirements and passing comprehensive or candidacy exams in one partnering departmental context, Kroc Institute students typically will take a minimum of six required peace studies courses provided by Kroc Institute faculty, study core peace studies literature and research design, select departmental courses with significant content relevant to peace studies, take a comprehensive examination in peace studies, submit a peace studies manuscript to a scholarly publication to be considered for publication, submit at least one proposal to an external funding agency for doctoral research, complete a teaching assistantship in peace studies, complete one or more research assistantships with Kroc Institute faculty engaged in scholarship related to the Institute's research themes, and conduct dissertation research and writing under the guidance of Kroc faculty. In meeting agreed requirements for the Ph.D. degree in their affiliated discipline, students work closely with Kroc faculty and faculty fellows in the relevant department. Peace studies courses often contribute to one or more subfield specialties within a department, thereby usually enabling students to earn their degrees in approximately five years.

Normally a Kroc Institute faculty member or faculty fellow will be the primary advisor of dissertation research and writing. Any exceptions must be approved in writing by the Kroc Director of Doctoral Studies, following a written student request for an exception in which the student provides a clear substantive rationale and indicates how the proposed research project and faculty mentoring will build on and contribute to the field of peace research.

As early as possible in his or her graduate education, each student should develop a long-term working relationship with at least one member of the faculty in addition to the Director of Doctoral Studies. In the first year, all new students will have the DDS assigned as their advisor. Normally, by the end of the spring semester of the first year, and no later than the middle of the fourth semester in the program, each student should select a faculty advisor with expertise in his or her own specialized subfield of substantive interest. Ideally, the person who is selected might later function as a dissertation director. The opportunity to change advisers is available to the student, assuming that there is another faculty member who is willing and able to serve in that capacity. This substantive adviser should play a central mentoring role for the student, but in addition the student must consult at least once a semester with the Kroc Director of Doctoral Studies and the departmental Director of Graduate Studies to ensure satisfactory academic progress in the overall program.

The following illustrative programs provide guidelines and a suggested timeline of satisfactory progress that each Kroc doctoral student should transform into a set of realistic, concrete academic goals for his or her particular career trajectory. These should be discussed in detail with the Kroc Director of Doctoral Studies, focusing particularly on (1) implementing each student's individual substantive emphasis that understandably varies from one student to another, (2) utilizing the unique opportunities for interdisciplinary learning and research design that arise with Kroc faculty and doctoral students in neighboring disciplines, and (3) identifying the ways and means of meeting each student's goals and eventually obtaining job placement within an expected timetable. In

some cases, the courses listed are illustrative rather than required, so further consultation with the Kroc Director of Doctoral Studies and the Departmental Director of Graduate Studies will be necessary.

#### 4.1 PH.D. in History and Peace Studies

#### 4.1.1. Curriculum

• Semester 1 [9 credit hours]

International Peace Research: Origins, Methodologies, Results Global Politics of Peacebuilding The Historian's Craft

• Semester 2 [9 credit hours]

Conflict Transformation and Strategic Peacebuilding First-Year Research Seminar in History Elective history colloquium

• Summer 1

Study language if needed or take first language exam Read for comprehensive exams

• Semester 3 [9 credit hours]

Contemporary Conflict and Peace Processes The Historical Profession Elective history research seminar Perform teaching assistantship in History

• Semester 4 [9 credit hours]

Political Economy of War and Peace Culture and Religion in Peacebuilding Elective history colloquium Perform teaching assistantship in Peace Studies

• Summer 2

Take second foreign language exam, if needed Prepare for comprehensive exams

• Semester 5 [9 credit hours]

Elective history colloquium
Elective history colloquium

Elective history course

Perform teaching or research assistantship in Peace Studies or History Continued preparation for comprehensive exams

• Semester 6 [3 credit hours]

Elective history course or directed reading
Perform research assistantship in Peace Studies
Take written and oral comprehensive exams in sixth or seventh semester

• Summer 3

Prepare manuscript for submission to scholarly publication

Semester 7

Take comprehensive exams in sixth or seventh semester Submit application for external research funding Submit dissertation proposal

• Semester 8

Conduct dissertation research and writing

Semester 9

Conduct dissertation research and writing

Semester 10

Complete and defend dissertation Prepare Job Placement Dossier

#### 4.1.2. Degree Requirements

The requirements for the Ph.D. in History and Peace Studies normally include: a minimum of 30 hours of courses in history, 3 of which may be pass-fail, and 18 hours of courses in peace studies; proficiency in English and 1 or 2 other languages (depending on the specialty within history); a minimum of two research seminar papers, at least one of which will be a manuscript of publishable quality and submitted to a scholarly publication; comprehensive examinations in five areas (specified below); an application for external funding for scholarly research; research and teaching assistantships in history and peace studies; and a dissertation of original research. Some courses not listed above might be substituted in a particular student's program, depending on the student's professional goals and the availability of courses, subject always to the approval of the Kroc DDS and the DGS in History. Students in History usually are not expected to perform service obligations during their first, fourth, and fifth years. After consultation with the History DGS, the Institute assigns teaching and research assistantships normally in the second and third years.

#### 4.1.3. Comprehensive Exams

Students are required to take written and oral comprehensive exams in five areas, three of which will be chosen from among the major areas in the History Department. The fourth area exam will cover the Department's "outside field" and emphasize peace studies in the context of that outside field. The outside field will be created by the student in consultation with and approval of the Kroc Director of Doctoral Studies and the departmental Director of Graduate Studies. The fifth area exam, created and graded by Kroc Institute faculty, will examine students on their ability to synthesize materials from (1) peace studies courses, (2) foundational literature from a recommended peace studies reading list, and (3) their own research or field experience, as well as to demonstrate (4) how their knowledge integrating history and peace research can address human suffering and contribute to some aspect of peacebuilding. The preferred committee for the examinations will include a minimum of three faculty from the History Department, at least one of whom must be a Kroc faculty or faculty fellow, plus two other faculty chosen from among Kroc faculty and faculty fellows.

#### 4.1.4. The Dissertation

The Kroc Institute protocol for dissertation proposals and for the research, writing, and defense of dissertations generally follows the procedures and policies listed in detail in the doctoral studies handbook of the History Department, with the understanding that the following two requirements are met: (1) the dissertation adviser will be a Kroc Institute faculty or faculty fellow, usually drawn from the Department, and (2) a minimum of one-half of the members of the faculty committee for the dissertation proposal examination and the dissertation

defense normally will be Kroc faculty or faculty fellows, frequently drawn from the Department. As the History Department now stipulates, one member may be drawn from another department.

#### 4.2 PH.D. in Political Science and Peace Studies

#### 4.2.1. Curriculum

• Semester 1 [12 credit hours]

International Peace Research: Origins, Methodologies, Results Global Politics of Peacebuilding

Proseminar in Political Science

Math for Political Scientists (unless Political Theory is the first field)

#### • Semester 2 [12 credit hours]

Culture and Religion in Peacebuilding

Conflict Transformation and Strategic Peacebuilding

Introduction to Quantitative Methods (unless Political Theory is the first field)

Theories of International Relations

#### • Summer 1

Study language if needed or take the foreign language exam

#### • Semester 3 [9 credit hours]

International Law (Political Science or Law School)

International Political Economy (this substitutes for Political Economy of War and Peace)

International Security, National Security Policy, or Causes of War

#### • Semester 4 [3 credit hours]

Theoretical Approaches in Comparative Politics

International Cooperation or an elective course

**Contemporary Conflict and Peace Processes** 

Take first written comprehensive exam (May)

#### • Summer 2

Prepare for second comprehensive exam or summer methods workshop

#### • Semester 5 [9 credit hours]

**Comparing Democracies** 

Seminar in Comparative Politics

Methods course – qualitative or advanced quantitative (Political Science)

Prepare for second comprehensive exam

#### • Semester 6 [6 hours]

Armies and Politics, or Theories of Identity and Conflict, or Comparative Foreign Policies

Political Science elective or Directed readings

Take second written comprehensive exam (January)

Perform teaching assistantship in Peace Studies

#### • Summer 3

Prepare for Peace Studies comprehensive exam Manuscript for submission to scholarly publication

#### Semester 7

Take Peace Studies comprehensive
Prepare dissertation proposal
Application for external support for dissertation or second manuscript submission to scholarly publication
Perform teaching assistantship in Political Science

#### • Semester 8

Dissertation research and writing

#### • Summer 4

Dissertation research and writing

#### Semester 9

Dissertation research and writing

#### • Semester 10

Completion and defense of dissertation Preparation of Job Placement Dossier

#### 4.2.2. Degree Requirements

The requirements for the Ph.D. in Political Science and Peace Studies normally include: a minimum of 69 hours of credit for the Ph.D. degree (57 of which are in substantive courses, with 42 in political science and 15 in peace studies); proficiency in English and one other language; a research paper of publishable quality which must be submitted to a scholarly publication; teaching and research assistantships in both political science and peace studies; written comprehensive examinations in three areas (specified below); and a dissertation of original research. Students complete all existing regular political science requirements and take peace studies courses as the third area of specialization, plus two or three additional peace studies courses (depending on whether International Political Economy replaces Political Economy of War and Peace). Some courses not listed above might be taken in place of the illustrative courses for a particular student's program, depending on the student's professional goals and the availability of courses, subject always to the approval of both the Kroc DDS and the DGS of Political Science.

#### 4.2.3. Comprehensive Examinations

The Kroc Institute protocol for comprehensive examinations generally follows the procedures and policies listed in detail in the doctoral studies handbook of the Political Science Department, with the additional understanding that the following requirement is also met: In addition to the two comprehensive exams covering two of the main subfields of political science, a separate written comprehensive examination in peace studies is required. This third area exam, created and graded by Kroc Institute faculty, will examine students on their ability to synthesize materials from (1) peace studies courses, (2) foundational literature from a recommended peace studies reading list, and (3) their own research or field experience, as well as to demonstrate (4) how their knowledge integrating political science and peace research can address human suffering and contribute to some aspect of peacebuilding.

#### 4.2.4. The Dissertation

The Kroc Institute protocol for dissertation proposals and for the research, writing, and defense of dissertations generally follows the procedures and policies listed in detail in the doctoral studies handbook of the Political

Science Department, with the understanding that the following two requirements are met: (1) the dissertation adviser will be a Kroc Institute faculty or faculty fellow, usually drawn from the Department, and (2) a minimum of one-half of the members of the faculty committee for the dissertation proposal examination and the dissertation defense normally will be Kroc faculty or faculty fellows, usually drawn from the Department.

#### 4.3 PH.D. in Psychology and Peace Studies

#### 4.3.1. Curriculum

• Semester 1 [12 credit hours]

International Peace Research: Origins, Methodologies, Results

Quantitative Methods I

Theories of Development

Directed Readings (to integrate psychology and peace research, orchestrated by faculty in, and as part of, the two preceding courses) or Contemporary Conflict and Peace Processes

• Semester 2 [9 credit hours]

Conflict Transformation and Strategic Peacebuilding

Quantitative Methods II

**Conflict in Families** 

• Summer 1

Study language if needed or take foreign language exam

• Semester 3 [9 credit hours]

Global Politics of Peacebuilding

Culture and Religion in Peacebuilding

**Developmental Psychopathology and Family Process** 

• Semester 4 [9 credit hours]

Political Economy of War and Peace

Socio-emotional Development

Elective Course or Research Credit

Presentation at scholarly meeting

Take foreign language exam

• Summer 2

Preparation for comprehensive exam in developmental psychology

• Semester 5 [9 credit hours]

Research Methods (Psychology)

Elective course (Psychology)

Advanced Statistics Class (Psychology)

Preparation for and completion of comprehensive exam in developmental psychology

• Semester 6 [9 credit hours]

Elective course, which could be advanced statistics or qualitative research methods or another advanced course (Psychology)

Research Credits (Psychology)

Preparation for Peace Studies comprehensive exam

Perform teaching assistantship in Psychology

• Summer 3

Peace Studies comprehensive exam Manuscript submitted to scholarly publication

• Semester 7 [3 credit hours]

Elective course, directed readings, or research credits Preparation and approval of dissertation proposal Perform teaching assistantship in Peace Studies

- Semester 8 [6 credit hours]
   Dissertation research and writing
   Application for external funding for research
- Semester 9 [6 credit hours]
   Dissertation research and writing
- Semester 10
   Completion and defense of dissertation
   Preparation of Job Placement Dossier

#### 4.3.2. Degree Requirements

The requirements for the Ph.D. in Psychology and Peace Studies normally include: a minimum of 72 hours of credit in psychology and peace studies courses and research credits for the Ph.D. degree, of which a minimum of 18 graded hours are in peace studies; proficiency in English and one other language; an empirical master's thesis; a manuscript of appropriate quality to submit to a scholarly publication; a written comprehensive examination in developmental psychology (or other mutually agreed upon field in the department); a written comprehensive examination in peace studies (specified below); research and teaching assistantships in psychology and peace studies; and a dissertation of original research. Some courses not listed above might be substituted in a particular student's program, depending on the student's professional goals and the availability of courses, subject always to the approval of both the Kroc DDS and the DGS in the Department of Psychology.

#### 4.3.3. Comprehensive Exams

The comprehensive examinations for this degree occur in two parts. The first is administered by and follows the expectations and normal procedures of the Psychology Department. The second, which students usually take after semester 6 or 7, is created and graded by Kroc Institute faculty or faculty fellows. It will examine students on their ability to synthesize materials from (1) peace studies courses, (2) foundational literature from a recommended peace studies reading list, and (3) their own research or field experience, as well as to demonstrate (4) how their knowledge integrating psychology and peace research can address human suffering and contribute to some aspect of peacebuilding.

#### 4.3.4. The Dissertation

The Kroc Institute protocol for dissertation proposals and for the research, writing, and defense of dissertations generally follows the procedures and policies listed in detail in the doctoral studies handbook of the Psychology Department, with the understanding that the following two requirements are met: (1) the dissertation adviser will be a Kroc Institute faculty or faculty fellow, usually drawn from the Department, and (2) a minimum of one-half of the members of the faculty committee for the dissertation proposal examination and the dissertation defense normally will be Kroc faculty or faculty fellows, usually drawn from the Department.

#### 4.4 PH.D. in Sociology and Peace Studies

#### 4.4.1. Curriculum

• Semester 1 [12 credit hours]

International Peace Research: Origins, Methodologies, Results Global Politics of Peacebuilding Elementary Statistics Sociology proseminar

• Semester 2 [12 credit hours]

Conflict Transformation and Strategic Peacebuilding Culture and Religion in Peacebuilding Sociological Research Methods Advanced Social Statistics

• Summer 1

Study language or take foreign language exam

• Semester 3 [9 credit hours]

Contemporary Conflict and Peace Processes Classical Sociological Theory Elective course 1, area 1 (Sociology)

• Semester 4 [9 credit hours]

Global Sociology
Elective course 2, area 1 (Sociology)
Write Master's paper

• Summer 2

Reading for comprehensive exams

• Semester 5 [6 credit hours]

Political Economy of War and Peace Elective course 1, area 2 (Sociology) Prepare for and take comprehensive exam in area 1

• Semester 6 [6 credit hours]

Elective course 2, area 2 (Sociology)

Advanced Sociological Theory or advanced or qualitative research methods

Take comprehensive exam in area 2

Prepare proposal for external funding for dissertation research

• Summer 3

Manuscript submitted to scholarly publication

• Semester 7 [3 credit hours]

Directed readings or independent study

Take comprehensive exam in peace studies (area 3)

Preparation and approval of dissertation proposal

Perform teaching assistantship in Peace Studies

Semester 8

Dissertation research and writing (6 credit hours) Perform teaching assistantship in Sociology

• Summer 4

Dissertation research and writing

Semester 9

Dissertation research and writing (6 credit hours)

Semester 10 [0 hours]
 Completion and defense of dissertation
 Preparation of Job Placement Dossier

#### 4.4.2. Degree Requirements

The requirements for the Ph.D. in Sociology and Peace Studies normally include: Classical Sociological Theory, Sociological Research Methods, Advanced Social Statistics, and Proseminar. There are also four sociology electives (one must be advanced methods, one must be advanced theory, and one must be global sociology). In addition, students normally take six peace studies courses: Peace Research: Design, Methods, and Findings; Global Politics of Peacebuilding; Culture and Religion in Peacebuilding; Conflict Transformation and Strategic Peacebuilding; Political Economy of War, Peace, and Development; and Ethnic Conflict and Peace Processes. Twelve additional hours may be earned in independent study, dissertation credit, and elected courses.

Any course that is cross-listed with the Department of Sociology can be counted as a sociology course. Courses that are cross-listed as sociology and Institute (IIPS) courses can be counted toward sociology requirements or peace studies requirements, but none can be double counted toward two requirements. Students will indicate how they wish to use such courses by signing up under either the IIPS designation or the SOC designation.

In meeting these requirements students will earn a minimum of 65 hours of credit for the Ph.D. degree, 54 of which are coursework; demonstrate proficiency in English and one other language; write a master's paper of publishable quality and submit a manuscript to a scholarly publication; pass three written comprehensive examinations (specified below); conduct research and teaching assistantships in sociology and peace studies; and write a dissertation of original research. Some courses not listed above might be substituted in a particular student's program, depending on the student's professional goals and the availability of courses, subject always to the approval of both the Kroc DDS and departmental DGS.

The M.A. degree is normally earned on the way to the Ph.D. The M.A. in the combined degree would come from Classical Sociological Theory, Sociological Research Methods, Advanced Social Statistics, Proseminar, at least 3 peace studies courses, plus Peace Research: Methods and Findings and the Master's paper.

There is a formal oral defense of the Master's thesis before it is completed and deposited. The examining committee will include three faculty members, with at least one member coming from the department and one from among Kroc Institute faculty of faculty fellows.

#### 4.4.3. Comprehensive Examinations

The written comprehensive examinations for this degree occur in three parts. The first covers a substantive area in sociology (which does not include the methods and statistics area or the theory area). The second covers a second specialty area in sociology. The third is in peace studies. The first two are administered by and follow the expectations and normal procedures of the Department. The third is created and graded by a committee of Kroc Institute faculty or faculty fellows, the majority of whom must be members of the Sociology Department. This

comprehensive exam will examine students on their ability to synthesize materials from (1) peace studies courses, (2) foundational literature from a recommended peace studies reading list, and (3) their own research or field experience, as well as to demonstrate (4) how their knowledge integrating sociology and peace research can address human suffering and contribute to some aspect of peacebuilding.

A majority of the faculty on the committees examining doctoral students for their comprehensive examinations will be from the Department and a majority also will be Kroc faculty or faculty fellows, often drawn from the Department. Any tenured or tenure-track faculty in the Department may represent it in the examining committee. For these comprehensive committees or dissertation examination committees, the Kroc Institute may draw faculty from Institute faculty or faculty fellows in the Department, joint appointees from other departments or, where appropriate, from special professional faculty if they are part of the Kroc faculty and if a substantial and regular part of their job description is teaching and research.

#### 4.4.4. The Dissertation

The Kroc Institute protocol for dissertation proposals and for the research, writing, and defense of dissertations generally follows the procedures and policies listed in detail in the doctoral studies handbook of the Sociology Department, with the understanding that the following two requirements are met: (1) the dissertation adviser will be a Kroc Institute faculty or faculty fellow, usually drawn from the Department, and (2) a minimum of one-half of the members of the faculty committee for the dissertation proposal examination and the dissertation defense normally will be Kroc faculty of faculty fellows, usually drawn from the Department.

#### 4.5 PH.D.in Theology and Peace Studies

#### 4.5.1. Curriculum

Semester 1 [12 credit hours]

International Peace Research: Origins, Methodologies, Results Global Politics of Peacebuilding Theology Seminar in the student's area of concentration Second Theology Seminar in the student's area of concentration

Semester 2 [12 credit hours]

Conflict Transformation and Strategic Peacebuilding Political Economy of War and Peace Theology Seminar in the student's area of concentration Second Theology Seminar in the student's area of concentration

• Summer 1

Study foreign language

Semester 3 [9 credit hours]

Culture and Religion in Peacebuilding Theology Seminar in the student's area of concentration Second Theology Seminar in the student's area of concentration

• Semester 4 [9 credit hours]

Contemporary Conflict and Peace Processes Theology Seminar in the student's area of concentration Second Theology Seminar in the student's area of concentration

#### • Summer 2

Complete all foreign language exams

#### • Semester 5 [2 credit hours]

Candidacy exam topics due at the beginning of the semester, including 7 topics in Theology and 3 in Peace Studies

Prepare for candidacy exams

#### • Semester 6 [2 credit hours]

Take written candidacy exams in Theology and Peace Studies Take oral candidacy exams

#### • Summer 3

Submit manuscript to scholarly publication

#### • Semester 7 [2 credit hours]

Turn in dissertation proposal at beginning of semester Begin dissertation research and writing Perform teaching assistantship in Theology Presentation at scholarly association

#### • Semester 8

Dissertation research and writing (2 credit hours) Perform teaching assistantship in Peace Studies

#### • Summer 4

Dissertation research and writing

#### Semester 9

Dissertation research and writing (2 credit hours)

#### • Semester 10

Completion and defense of dissertation Preparation of Job Placement Dossier

#### 4.5.2. Degree Requirements

The requirements for the Ph.D. in Theology and Peace Studies normally include: a minimum of 24 graded hours of credit in theology in one of the areas of concentration and 18 graded hours in peace studies; research and teaching assistantships in theology and peace studies; the preparation of a paper of publishable quality that will be submitted to a scholarly publication; candidacy exams in 10 topical areas (specified below); and a dissertation of original research. In addition, students will meet the Department's language requirements of two modern research languages (typically French and German) and one classical language (Latin or Greek). Another language may be used as a replacement with the permission of the Director of Graduate Studies in the Department and the Director of Doctoral Studies in the Institute.

## 4.5.3. Candidacy Examinations

The candidacy exam covers seven topics in the student's area of concentration within Theology and three in peace studies. One or two of the topics in the theological area of concentration must be in the area in which the student is planning to write a dissertation. Three of the examiners on the candidacy exam board will be from the Theology

Department (at least two of them in the student's area of concentration). At least two will be Kroc faculty or faculty fellows, including the adviser, who will normally be a Kroc faculty or faculty fellow. The peace studies questions will examine students on their ability to synthesize materials from (1) peace studies courses, (2) foundational literature from a recommended peace studies reading list, and (3) their own research or field experience, as well as to demonstrate (4) how their knowledge integrating theology and peace research can address human suffering and contribute to some aspect of peacebuilding.

#### 4.5.4. The Dissertation

The Kroc Institute protocol for dissertation proposals and for the research, writing, and defense of dissertations generally follows the procedures and policies listed in detail in the doctoral studies handbook of the Theology Department, with the understanding that the following two requirements are met: (1) the dissertation adviser will be a Kroc Institute faculty or faculty fellow, usually drawn from the Department, and (2) a minimum of one-half of the members of the faculty committee for the dissertation proposal examination and the dissertation defense normally will be Kroc faculty or faculty fellows, usually drawn from the Department. The dissertation committee will normally consist of four faculty, no less than two of whom will come from the student's area of concentration, with no less than two of the four being Kroc faculty or faculty fellows.

#### 4.5.5. Assistantships

During the first year of studies, students are not assigned any duties so that they can devote themselves to full-time studies. In the second and third years the student will normally serve as a research assistant or teaching assistant to a faculty member, working no more than 12 hours a week for that faculty member. During the student's fourth year (the "dissertation year") a student does not have any service duties so that he or she can work full time on the dissertation. During the fifth year the student will either work as a teaching assistant or teach a course that can be cross-listed between the Department of Theology and the Institute. Decisions about assistantships are made by the Director of Doctoral Studies in the Kroc Institute, in consultation with the Director of Graduate Studies in Theology and Theology Department faculty who are Kroc faculty or faculty fellows.

# Appendix A: Support for Presenting Scholarly Research

Students are strongly encouraged to make a presentation of their own scholarly work at professional meetings during at least two of their five years in the graduate program. The Graduate Student Union (GSU) and the Kroc Institute provide financial subsidies to encourage student participation in such meetings. To qualify, travel must be for the purpose of presenting a written scholarly paper at a professional conference. Serving as a discussant, roundtable participant, or panel chair, although laudatory, does not qualify. Our goal is to encourage good research design and peer discussion to move written work toward publication. All students must apply to the Graduate Student Union (GSU) first before requesting funding from the Institute. When applicable, students are encouraged to apply also to other University sources (i.e. the Institute for Scholarship in the Liberal Arts, the Kellogg Institute, and the Nanovic Institute) to help fund portions of conference related expenses.

Students should follow the guidelines for submitting a conference grant application found at <a href="http://gsu.nd.edu">http://gsu.nd.edu</a>. If you request, the GSU will forward your application to the Kroc Institute after they have made their funding decision. You do not need to submit a separate application to the Institute.

The following maxima apply for reimbursement:

\$250 maximum per year from GSU (up to \$1500 total during your entire career at Notre Dame) \$700 maximum per conference from the Kroc Institute per year, with a \$1,000 annual maximum

For example, if you present at one conference in a year, the maximum amount of funding you can receive from these two sources is \$950. If you present at two or more conferences per year, the maximum amount of funding you can receive from these sources is \$1,250.

As the GSU only allows one application per academic year, if you present at a second conference please submit the second application directly to the Graduate Program Manager at the Kroc Institute.

Any questions about grants for presenting at professional association meetings should be directed to the Graduate Program Manager at the Kroc Institute.

# Appendix B: Support for Summer Research and Training\*

\*Please note these are these guidelines are subject to change for 2011.

The Kroc Institute is pleased to make available, on a priority basis, limited funding for summer research and study by selected peace studies doctoral students. Although there are not sufficient funds to provide full funding for all students every summer, the Institute provides limited assistance to help students use summers to advance their professional goals.

As a condition of summer funding from the Kroc Institute, the Institute requires students to explore possible summer support from other sources also, including other campus institutes such as the Kellogg Institute and the Nanovic Institute, and the Institute for Scholarship in the Liberal Arts. Decisions about granting Kroc awards will take into account such efforts, while also giving weight to the following priorities in this order of preference for receiving support:

- 1. Advanced graduate students seeking assistance to conduct research and writing related to their dissertations;
- 2. Students seeking to acquire special skills not readily available at Notre Dame and necessary for the student's development in the program, such as a qualitative or quantitative methods summer workshop;
- 3. Students preparing a scholarly manuscript for submission to a refereed journal;
- 4. Students serving as a research assistant for a faculty member, where a resulting article, chapter, or book will be jointly authored by the student (as confirmed by the faculty member);
- 5. Students seeking assistance to study a specific set of readings or research to prepare for a scheduled comprehensive examination;
- 6. Students serving as a research assistant for a Kroc faculty member or seeking assistance for independent research or study.

To apply, students should submit a letter of application to the Graduate Program Manager, no later than March 5 of any given year, in which they explain the purposes for which they seek funding. The maximum support allowed is a total of \$4000 for the summer.

To be eligible, students must be part of the normal funding cohort in the graduate program, finishing year one through year five. Students who already have summer funding through a special fellowship, such as Presidential, Notebaert, or Gaia fellowships, are not eligible for additional *stipend* funding from the Institute, but they may be considered for tuition assistance if they need funds for summer training not available at Notre Dame.

If students receive partial summer funding from a non-Kroc source, they must report this to the Graduate Program Manager and may expect a partial reduction of summer support from the Kroc Institute.

Within the general eligibility guidelines and the hierarchy of six priorities above, proposals will be evaluated primarily on the basis of the quality of the student's academic record, plus the quality of the proposal. Normally an award will not be given to a student with an "incomplete" at the time of the decision or the beginning date of the proposed work. The Institute's decision-making committee will view positively an applicant's consultation with a faculty member about the merits of the proposal for summer work and an indication from the relevant faculty member of his or her support for the application for summer assistance.

The total amount of the awards granted each year may vary according to the amount of available funds from year to year.

Those who receive an award must provide a brief written report on the results obtained from their use of the funds no later than two weeks after the first day of the fall semester.

Students may also work for faculty during the summer under arrangements made outside the conditions discussed in this announcement. In such cases they need to work out the terms with the individual faculty member if he or she has research funds available for this purpose. Total earnings from all sources normally would not exceed \$4000 for the summer.